

(19) World Intellectual Property Organization
International Bureau



(43) International Publication Date
17 July 2003 (17.07.2003)

PCT

(10) International Publication Number
WO 03/057736 A1

(51) International Patent Classification⁷: **C08B 37/08**

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(21) International Application Number: PCT/IB03/00025

(22) International Filing Date: 8 January 2003 (08.01.2003)

(25) Filing Language: English

(26) Publication Language: English

(30) Priority Data:

P351600 9 January 2002 (09.01.2002) PL
P351601 9 January 2002 (09.01.2002) PL

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(81) Designated States (*national*): AE, AG, AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, BZ, CA, CH, CN, CO, CR, CU, CZ, DE, DK, DM, DZ, EC, EE, ES, FI, GB, GD, GE, GH, GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MA, MD, MG, MK, MN, MW, MX, MZ, NO, NZ, OM, PH, PL, PT, RO, RU, SD, SE, SG, SK, SL, TJ, TM, TN, TR, TT, TZ, UA, UG, US, UZ, VC, VN, YU, ZA, ZM, ZW.

(84) Designated States (*regional*): ARIPO patent (GH, GM, KE, LS, MW, MZ, SD, SL, SZ, TZ, UG, ZM, ZW), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, BG, CH, CY, CZ, DE, DK, EE, ES, FI, FR, GB, GR, HU, IE, IT, LU, MC, NL, PT, SE, SI, SK, TR), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, GQ, GW, ML, MR, NE, SN, TD, TG).

Declaration under Rule 4.17:

— *of inventorship (Rule 4.17(iv)) for US only*

Published:

— *with international search report*

For two-letter codes and other abbreviations, refer to the "Guidance Notes on Codes and Abbreviations" appearing at the beginning of each regular issue of the PCT Gazette.

(54) Title: METHODS OF PRODUCING MODIFIED MICROCRYSTALLINE CHITOSAN AND USES THEREFOR

(57) Abstract: Disclosed are methods of producing modified microcrystalline chitosan. Chitosan in aqueous solution is degraded enzymatically, hydrolytically or oxidatively. The chitosan solution is then alkalized with agitation using aqueous hydroxides and/or their salts to attain a pH not lower than 7.0. The precipitated modified microcrystalline chitosan is highly pure and may be concentrated and dried according to known methods. Methods according to the invention also include methods by which an aqueous solution of chitosan is first alkalized using hydroxides and/or their salts to a pH not lower than 7.0. Then the precipitated microcrystalline chitosan is subjected to enzymatic or oxidative degradation to achieve a desired average molecular weight and polydispersity. The product is highly pure and may be concentrated and dried according to known methods.



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METHODS OF PRODUCING MODIFIED MICROCRYSTALLINE CHITOSAN AND USES THEREFOR

FIELD OF THE INVENTION

The invention concerns a method to produce modified microcrystalline chitosan and uses thereof.

BACKGROUND OF THE INVENTION

Polish Patent No 125 995 and A Journal of Applied Polymer Science" vol. 33 p. 177, 1987 teach a method, to produce a chitosan with a developed internal surface in a batch process in which the chitosan is periodically precipitated from its solutions in aqueous organic or inorganic acids or their salts by means of hydroxides of alkali metals. The mixture is vigorously stirred. The precipitated chitosan in suspension form is washed with water several times. The well - known method produces chitosan with a developed internal surface with an out-put of 70 - 90% of theoretical values. The batch process requires at least 12 - 24 hours for a production cycle. The single batches of the product lack homogeneity. The product tends to degrade and its sorption capacity is rather poor, due to insufficient development of the inner surface. Polish Patent 164 247 and Finnish Patent FI 83 426 teach continuous methods to produce microcrystalline chitosan (MCCh). A solution of chitosan in aqueous acids and/or their salts is introduced to a reactor along with an aqueous hydroxide solution of alkali metals and/or their salts until microcrystalline chitosan is formed at pH > 7. Simultaneously, the microcrystalline alkaline suspension of chitosan is continuously removed from the reactor. The alkaline solution may also be introduced directly to the recirculation system. Limitations of the process are: out - put below 90%, average agglomerate size above 1 μm and water retention value below 5000%.

Water retention value is an indication of the development of the inner surface. Another drawback of these processes is that it is not possible to control the molecular, and super molecular and morphological structure of the generated chitosan. The continuous process causes a substantial decrease of the average molecular weight of the generated MCCh as result of intensive degradation processes.

Polish Patent Application P 340 132 and the International Application WO 01/87 988 teach a method to produce modified microcrystalline chitosan. According to the disclosed process, a chitosan solution in aqueous solutions of acids and/or their salts with the polymer concentration not lower than 0.001 wt% is neutralized with aqueous hydroxides and/or their salts with a concentration in the range of 0.01 - 20% at intensive agitation with a rotary speed 10 - 1000 rpm to attain pH in the range 5.0 - 6.9; the chitosan salt is thereby converted into its gel form. The gel is homogenized at agitation speed in the range of 100 - 5000 rpm for not shorter than 10 seconds. Next, still with agitation 100 - 5000 rpm, the gel is alkalized with aqueous hydroxides in the concentration of 0.01 - 20 wt% to pH not lower than 6.9. The produced gel-like microcrystalline chitosan suspension is purified, possibly concentrated and dried according to known procedures. This method does not enable production of a modified microcrystalline chitosan with controlled molecular, super-molecular and morphological structure and assumed properties, particularly biological ones.

The invention also concerns a chitosan - calcium complex and a method to produce the complex. The sorption of metal ions by chitosan in its solid state or in aqueous organic and inorganic acid solutions is well-known from following publications: journals - International Journal of Biological Macromolecules, v. 9, p. 109, 1987, "Carbohydrate Polymer", v.8, p. 1 - 21, 1988, v.11, p. 205 - 307, 1989; "Talanta", V. 16, p. 1571 - 1579, 1969; "Carbohydrate Polymers", v. 36, p. 267 - 276, 1998 and monographs "Chitin Chemistry", Mac Millan Press Ltd, Great Britain, 1992, p. 222 - 225 and "Advances in Chitin Science", v. IV, Universitat Potsdam, Germany, 2000, p. 202 - 205.

The amount of bound calcium (II) ions is insignificant compared to other alkali metals and amounts to only $0.4 - 0.8 \times 10^{-3}$ mol/gr of chitosan. Soluble derivatives of chitosan demonstrate a better ability to bind calcium II ions notably carboxymethylchitosan, carboxybenzylchitosan, (N)methylchitosan phosphoniate. Complexes of these derivatives with calcium II ions do not dissolve in water. Unknown are chitosan complexes with calcium (II) ions able to dissolve in water or to produce thermally stable suspensions.

SUMMARY OF THE INVENTION

The present invention addresses these and other issues.

Thus, according to one aspect, the present invention relates to methods for preparing modified microcrystalline chitosan by degrading chitosan in an aqueous acidic solution under conditions to achieve a desired molecular weight range and polydispersity. Then, the aqueous acidic solution is alkalinized at vigorous agitation said acidic aqueous solution of chitosan with an aqueous base to form a solution having chitosan concentration of about 0.01-20 wt% and a pH of at least about 7.0. Microcrystalline chitosan can then be precipitated from this solution.

In another aspect, methods of the invention relate to techniques for preparing modified microcrystalline chitosan by first alkalizing at vigorous agitation an acidic aqueous solution of chitosan with an aqueous base to form a solution having chitosan concentration of about 0.01-20 wt% and a pH of at least about 7.0. The dissolved chitosan in this solution is then degraded under conditions to achieve a desired molecular weight range and polydispersity. The microcrystalline chitosan product can then be precipitated.

The present invention also relates to a chitosan-calcium (II) complex containing calcium (II) ions bound to microcrystalline chitosan prepared according to methods of the invention. These inventive complexes contain ≥ 0.01 wt% chitosan having an average molecular weight ≥ 10 kD, a polydispersity ≥ 2.0 , deacetylation degree $\geq 65\%$ and wherein said complex has a water retention value $\geq 300\%$, pH ≥ 7.1 and a calcium (II) ion content ≥ 0.1 wt% relative to chitosan.

In other aspects, the present invention also provides methods to produce a chitosan-calcium complex from a suspension of microcrystalline chitosan prepared according to methods of the invention. These suspensions can be mixed with ≥ 0.01 wt% calcium (II) salt to form the inventive complexes of the invention.

DESCRIPTION OF THE INVENTION

Unlike in conventional techniques, methods for producing microcrystalline chitosan, according to the invention, precipitate the chitosan from its aqueous acidic solutions using aqueous hydroxides and/or their salts. According to these methods, chitosan with a concentration in aqueous solution of at least 0.001 wt% (preferably 0.1 - 2 % wt%) is first degraded in a controlled way to attain an assumed average molecular weight and polydispersity degree. The chitosan under intensive agitation with rotary speed below 10,000 rpm is next alkalized with aqueous hydroxides and/or their salts with concentration in the range of 0.01 - 20 wt% to pH not lower than 7.0. The precipitated modified microcrystalline chitosan is purified and possibly concentrated and dried according to known methods.

The chitosan according to this invention is subjected to degradation by enzymatic, hydrolytic or oxidative treatment.

In enzymatic degradation, enzymes such as cellulases, chitanases or xylanases are used at a temperature not lower than 20°C, preferably 30 - 60°C. The degradation lasts 1 minute to 100 hours at enzyme activity not lower than 0.01 units/cm³. The enzymes remaining after the treatment are deactivated at a temperature not lower than 70°C.

The hydrolytic degradation is run at a temperature not lower than 20°C, preferably 40 - 80°C, lasting 1 minute to 100 hours, preferably in the presence of strong acids such as hydrochloric acid or chloroacetic acid in an amount not lower than 0.001 wt% on chitosan.

The oxidative degradation according to the invention is conducted with oxidizing agents like hydrogen peroxide or sodium perborate in an amount not lower than 0.001 wt%, preferably 0.01 - 0.5% on chitosan, not shorter than 1 minute at a temperature not lower than 20°C, preferably 30 - 60°C. The method to produce modified microcrystalline chitosan, according to the invention consists also in that the chitosan, whose concentration in the aqueous acid solution is not lower than 0.001 wt%, preferably 0.1 - 2 wt% is intensely agitated with rotary speed not exceeding 10 000 rpm, alkalized to pH not lower than 7.0 with aqueous hydroxide solutions and/or their salts with 0.01 - 20 wt% concentration. The microcrystalline chitosan, precipitated from the solution, is next subjected to a controlled degradation to attain the assumed average molecular weight and polydispersity degree. The produced modified microcrystalline chitosan is purified and optionally concentrated and dried in a classical way.

Microcrystalline chitosan obtained, according to the invention, is subjected to either enzymatic or oxidative degradation. The enzymatic degradation of the microcrystalline chitosan uses enzymes active in neutral and/or alkaline media like cellulases at temperatures not lower than 20°C, preferably 30 - 60°C for 1 minute to 100 hours at pH not lower than 7.0 with the enzymes activity not lower than 0.01 units/cm³. The enzymes remaining after the degradation are deactivated at a temperature beyond 70°C. The oxidative degradation of the microcrystalline chitosan is conducted with the use of oxidizing agents like hydrogen peroxide or sodium perborate in the amount of at least 0.001 wt%, preferably 0.01 - 0.5 wt% on chitosan during not shorter than 1 minute at a temperature not lower than 20°C, preferably 30 - 60°C.

According to the invention, an aqueous solution of chitosan in acetic acid, lactic acid, citric acid or hydrochloric acid is used at pH not higher than 6.9. Aqueous solutions of

sodium-, potassium- or ammonium hydroxide or/and the corresponding salts like sodium, potassium or ammonium carbonate are used during alkalization. The production of the microcrystalline chitosan, according to the invention can be run batch-wise or continuously.

According to the invention, modified microcrystalline chitosan is produced with an assumed, controlled molecular, supermolecular and morphological structure following enzymatic, hydrolytic or oxidative degradation of the chitosan macromolecules, dissolved in aqueous acids in the course of the manufacture or the enzymatic or oxidative degradation of the microcrystalline chitosan precipitated from its solution. The enzymatic degradation enables production of microcrystalline chitosan with a relatively lower average molecular weight and polydispersity degree. In addition, the applied enzymes affect other properties of the microcrystalline chitosan like water retention value, size of molecules and crystallinity index. The hydrolytic degradation, particularly in the presence of strong acids, enables production of obtaining polymers with lowered average molecular weight and increased polydispersity. The modified microcrystalline chitosan, obtained according to the invention, is characterized by a wide spectrum of the average molecular weight compared to the initial chitosan.

The controlled degradation of chitosan in a homogeneous medium, prior to the manufacture of the microcrystalline chitosan, allows structural adaptation to the optimum conditions of the agglomeration. Thanks to the modification of the chitosan at this stage, the obtained microcrystalline chitosan is characterized by assumed physical-, chemical-, useful- and biological properties particularly bioactivity, biodegradability and biocompatibility. The degradation of the microcrystalline chitosan in the form of agglomerates according to the invention runs in a heterogeneous phase and enables a controlled modification of the chitosan structure, mainly the biodegradation of low molecular weight fractions, altering of morphological structure and widening the range of its properties like water retention value, porosity, sorption ability and biological activity. The degradation process, run this way, is simultaneously controlled by the diffusion of the enzymes or the oxidizing agent to the structure of the agglomerates. The assumed structure of the modified microcrystalline chitosan profoundly affects many of its properties like biodegradability, bioactivity, porosity, adhesion and miscibility with other polymers and stability. An advantage of the method of the invention is to produce modified microcrystalline chitosan with assumed properties in the forms of suspension, paste and powder according to the envisaged application.

Another essential advantage of the method according to the invention is the strict homogeneity and repeatability of the microcrystalline chitosan properties, a feature crucial for medical applications.

The modified microcrystalline chitosan obtained according to the invention is widely applied in medicine, veterinary and pharmacy.

The chitosan - calcium complex, according to the invention, constitutes a compound of calcium (II) ions and microcrystalline chitosan and contains not less than 0.01 % of the polymer with an average molecular weight M_v not less than 10 kD, a polydispersity degree (P_d) not lower than 2.0 and a deacetylation degree (DD) not less than 65 %. The complex is characterized by a water retention value WRV not lower than 300%, a pH not lower than 7.0 and calcium Ca (II) ions' content not lower than 0.1 wt % on chitosan.

According to the invention, the Ca (II) ions are linked with the microcrystalline chitosan (MCCh) by coordinate and / or second - order bonds like hydrogen bonds. The method to produce the chitosan - calcium complex, according to the invention, is carried out as follows: to a MCCh suspension containing not less than 0.01 wt % of the polymer with a M_v not less than 10 kD, a P_d not lower than 2.0, a DD not lower than 65 %, a WRV not lower than 300 % and pH not lower than 7.0, calcium salts like calcium chloride or calcium acetate are added in the amount of not less than 0.1 wt % Ca (II), preferably 10 - 50 wt % on chitosan. The mixture is next homogenized and reacted at a temperature not lower than 10°C, preferably 20 - 40°C for at least 1 minute, preferably 30 - 120 minutes. The produced chitosan - calcium is possibly condensed and dried, according to known methods.

The production of the chitosan - calcium complex may be accomplished in two steps: in the first step the mixture of MCCh and calcium salt is homogenized at an agitation speed not exceeding 100 rpm, in the second step the chitosan - calcium complex is formed at 100 - 5000 rpm.

The chitosan - calcium complex is characterized by the presence of mainly coordinate bonds between the calcium ions and the amide- and hydroxide groups of the chitosan and by the forming the intra- and intermolecular hydrogen bonds between amide-, amino- and hydroxide groups of the chitosan chain. These bonds are characterized by high bond energy. The presence of the bonds makes the structure of the chitosan - calcium complex durable resulting in an excellent stability of the complex with high content of calcium ions.

The advantage of methods according to the invention, is a simple procedure to produce the chitosan - calcium complex, characterized by unique properties like high content bound calcium (II) ions, high water retention value, good stability even at elevated temperatures and a high biological activity compared to known forms of chitosan.

The chitosan complex finds its application mainly in medicine and pharmacy.

The method according to the invention is illustrated with following examples, which do not limit its range of application.

EXAMPLE 1

To a reactor equipped with an agitator and cooling / heating jacket 1500 wt parts of a 0.5% aqueous chitosan solution in a 0.25% aqueous solution of lactic acid were introduced. The polymer was characterized by an average molecular weight $M_v=345.6$ kD a deacetylation degree DD=82.2% and a polydispersity $P_d=3.45$. Next, to the reactor, with the agitator on at the speed of 150 rpm, a 10% aqueous sodium hydroxide was introduced with the rate of 50 cm³/min to attain pH=5.5, then 1.5 wt parts of a solution of the Ekonaza CE cellulase were introduced. The initial endo-1,4- β - glucanase activity of the enzymes solution was 2600 U CMC / cm³.

The activity of the cellulase in the reacting mixture was 2.6 U CMC/cm³. The enzymatic degradation run at the temperature of 20°C for 16 hours at continuous agitation. After that time the enzymes were deactivated at 80°C for 15 minutes.

The reactor content was next cooled to 25°C and, with continuous agitation at 150 rpm, a 1 % aqueous solution of sodium hydroxide was introduced during 30 minutes to pH=8.0 and precipitation of the agglomerates of the modified microcrystalline chitosan (MCCh). Under these conditions the reactor content was homogenized for further 15 minutes. The chitosan product was purified by continuous washing with water to pH = 7.25 and complete removal of impurities.

The product was next concentrated. 280 wt parts of a modified MCCh were obtained in the form of a white gel-like suspension with a concentration of 2.41 wt% of the polymer characterized by $M_v = 60.1$ kD, $P_d = 2.09$, $DD = 82.2\%$, and water retention value $WRV = 1630\%$.

EXAMPLE 2

To the reactor, as in Example 1, 1500 wt parts of a 0.5% aqueous chitosan solution in a 0.25% aqueous solution of lactic acid were introduced. The polymer was characterized by: $M_v = 237.0$ kD, $DD = 84.3\%$, $P_d = 3.49$. Next to the reactor with the agitator on at speed of 150 rpm a 1 % aqueous solution of sodium hydroxide was introduced at a rate of 25 cm³/min to attain pH=5.2.

Next, 1.5 wt parts of Ekonaza CE cellulase solution were introduced. The initial endo-1,4- β -glucanase activity of the enzyme solution amounted to 2600 U CMC/cm³. The enzyme activity in the reaction mixture was 2.6 U CMC/cm³. The enzymatic degradation was conducted at 20°C for 1 hour. Afterwards, the enzymes were deactivated at 80°C for 5 minutes. The reactor content was next cooled to 21°C and, at continuous agitation with 150 rpm, a 5.0% aqueous ammonia solution was introduced during 30 minutes to attain pH=8.0 and to precipitate agglomerates of the modified MCCh. Under these conditions the reactor content was homogenized for a further 30 minutes.

The resulting MCCh was purified by continuous washing with water to pH=7.2 and complete removal of impurities. Next the MCCh was concentrated.

210 wt parts of modified MCCh were obtained in the form of a white gel-like suspension with a 3.25 wt % concentration of the polymer characterized by: $M_v = 209.9$ kD, $P_d = 3.16$, $DD = 84.2\%$, $WRV = 955.0\%$.

EXAMPLE 3

To the reactor as in Example 1, 20000 wt parts of a 1.0% aqueous solution of chitosan in a 0.4% aqueous hydrochloric acid solution were introduced. The polymer was characterized by: $M_v = 796.5$ kD, $DD = 85.6\%$, $P_d = 3.23$. Next, to the reactor, with the agitator on at 480 rpm, a 0.5% aqueous solution of sodium hydroxide was added with the rate of 50 cm³/min to attain pH=5.2. 11 wt parts of the cellulase Ekonaze CE solution were added. The 1,4- β -glucanase activity of the initial enzyme was 2600 U CMC/ cm³, whereas in the reaction mixture it was 1.315 U CMC/cm³. The controlled enzymatic degradation was run at 20°C for 15 minutes followed by deactivation of the enzymes at 80°C for 15 minutes.

Next, the reactor content was cooled to 25°C and, with the agitator on at 480 rpm, a 0.5% aqueous sodium hydroxide was introduced during 90 minutes to attain

pH=7.69 and precipitate agglomerates of the modified MCCh. Under these conditions the reactor content was homogenized for further a 15 minutes. The product MCCh was purified by washing with water to pH=7.3 and complete removal of impurities. 5015 wt parts of the modified MCCh were obtained as a white, gel-like suspension with the polymer concentration of 3.46 wt%. The polymer was characterized by $M_v=387.0$ kD, $P_d=3.13$, DD=85.6% and WRV=870.0%.

EXAMPLE 4

To the reactor as in Example 1, 1000 wt parts of a 1.0% aqueous solution of chitosan in a 0.4% aqueous solution of hydrochloric acid were introduced. The polymer was characterized by: $M_v=796.5$ kD, DD=85.6%, $P_d=3.23$. Next, to the reactor with the agitator on at 1000 rpm, a 0.75% aqueous solution of sodium hydroxide was introduced at the rate of 50 cm³/min to attain pH=6.53. 0.2 wt part of the Ekonaza CE cellulase was added. The initial endo-1,4- β -glucanase activity of the enzyme was 2600 U CMC/cm³ and the activity in the mixture was 0.52 U CMC/cm³. The controlled enzymatic degradation was run at 20°C for 10 min, then the enzymes were deactivated at 80°C for 10 minutes. The reactor content was next cooled to 25°C and, at continuous agitation with 4000 - 4500 rpm, a 0.75% aqueous sodium hydroxide was introduced during 30 minutes to attain pH=7.65 and precipitate the modified MCCh. Under these conditions the reactor content was homogenized for further 15 minutes. The obtained MCCh was purified by continuous washing with water to pH=7.15 and complete removal of impurities. The product was next concentrated.

415 wt parts of modified MCCh were obtained as a white gel-like suspension with the polymer concentration of 2.12 wt% characterized by: $M_v=514.0$ kD, $P_d=2.90$, DD=85.6 % and WRV=1100.0 %.

EXAMPLE 5

To the reactor as in Example 1, 1500 wt parts of a 0.75 % chitosan solution with properties as in Example 2 in a 0.5% aqueous solution of lactic acid were introduced. Next, to the reactor with continuous agitation at 1000 rpm, a 2% aqueous sodium hydroxide at the rate of 50 cm³/minute was introduced to attain pH=6.0 and 3.9 wt parts of xylanase were added with the initial endo-1,4- β -xylanase activity of 13949 U xyl/cm³ and endo-1,4- β -glucanase activity of 411 U CMC/cm³. The enzyme activity in the reaction mixture was 37 U xyl/cm³ and 1.1 U CMC/cm³ respectively. The controlled enzymatic degradation was conducted at 20°C for 15 minutes followed by a deactivation of the enzyme at 80°C for a further 15 minutes. Next, the reaction mixture was cooled to 20°C and, with continuous 1000 rpm agitation, a 2.0 % aqueous sodium hydroxide was introduced during 30 minutes to attain pH=6.80.

Then, the agitation speed was increased to 8000 rpm and the agglomeration process was run for 15 minutes to attain pH=7.50 and precipitate the agglomerates of the modified MCCh. Under these conditions, the reactor content was homogenized for a further 15 minutes. The obtained modified MCCh was purified by a continuous washing with water to attain pH=7.2 and complete removal of impurities. 320 wt parts were obtained of the modified MCCh as a white gel-like suspension with a 3.18 % concentration of the polymer characterized by $M_v=140.0$ kD, $P_d=3.04$, DD=84.3 %, WRV=3800 %.

EXAMPLE 6

To the reactor, as in Example 1, 2000 wt parts of a 0.25% aqueous chitosan solution in 0.6% aqueous solution of acetic acid were introduced. The polymer was characterized by: $M_v = 143.9$ kD, $DD = 78.5$ % and $P_d = 2.94$. Next, to the reactor with the agitator at 200 rpm, a 5% aqueous solution of sodium hydroxide was introduced to attain $pH = 6.80$, next 20 wt parts were added of a neutral cellulase with the initial endo-1,4- β -glucanase activity of 186 U CMC/cm³. The enzyme activity in reaction mixture was 1.9 U CMC/cm³. The controlled enzymatic degradation was conducted at 20°C for 5 hours followed by deactivation of the enzyme at 80°C for a further 15 minutes.

The reaction mixture was next cooled to 18°C. With continuous agitation at 200 rpm, a 5.0% aqueous sodium hydroxide was introduced during 60 minutes to attain $pH = 8.0$ and precipitate the agglomerates of the modified MCCh. Under these conditions, the reactor content was homogenized for a further 15 minutes. The obtained, modified MCCh was purified by a continuous washing with water to attain $pH = 7.2 - 7.3$ and complete removal of impurities. The product was next concentrated.

150 wt parts of a modified MCCh were obtained as a grey gel-like suspension with a concentration of the polymer of 2.99 wt%. The polymer was characterized by $M_v = 44.3$ kD $P_d = 3.25$, $DD = 78.5$ %, and $WRV = 1250$ %.

EXAMPLE 7

To a reactor equipped as in Example 1, 1500 wt parts of a 0.5% chitosan solution in a 0.25% aqueous hydrochloric acid were introduced. The polymer was characterized by: $M_v = 345.6$ kD, $DD = 82.2$ %, $P_d = 2.92$. Next to the reactor with continuous agitation at 150 rpm a 5.0% aqueous sodium hydroxide was added at the rate of 125 cm³/min to attain $pH = 7.9$ and precipitate the agglomerates of MCCh. Under these conditions, homogenization was continued for a further 15 minutes.

Next, to the reactor 15.4 wt parts of a neutral cellulase were introduced with the initial activity of endo-1,4- β -glucanase - 186 U CMC/cm³. The enzymatic activity in the reaction mixture was 1.9 U CMC / cm³. The controlled enzymatic degradation was conducted at 20°C during 2 hours with continuous agitation. The enzyme was, afterwards, deactivated for 5 minutes at 80°C. Next the reaction mixture was cooled to 20°C and at continuous 200 rpm agitation a 5.0 % aqueous sodium hydroxide was introduced during 60 minutes to attain $pH = 7.8$ and precipitate agglomerates of the modified MCCh. Under these conditions homogenization was continued for a further 15 minutes. The modified MCCh was purified by continuous washing with water to $pH = 7.2 - 7.3$ and complete removal of water.

250 wt parts of modified MCCh were obtained, as a grey gel-like suspension containing 2.64 wt% of the polymer characterized by $M_v = 167.6$ kD, $P_d = 2.77$, $DD = 62.6$ %, $WRV = 1860$ %.

EXAMPLE 8

To a reactor equipped with agitator, heating jacket and a recirculation assembly with an impeller pump 1000 wt parts of a 1 % chitosan solution in a 4.0% aqueous acetic acid were introduced. The polymer was characterized by $M_v = 734$ kD, $P_d = 3.54$ and DD=73.8 %. With the agitator at 800 rpm and the recirculation assembly switched on 1.5 wt parts of an aqueous solution of the cellulase Ekonaza CE were introduced to the chitosan solution at pH = 4.5. The initial endo-1,4- β -glucanase activity of the enzyme was 2600 U CMC/cm³, while in the reaction mixture it was 2.6 U CMC / cm³. The controlled enzymatic degradation was conducted for 30 minutes at 30°C. Next, a 4.0% aqueous solution of a mixture of potassium hydroxide and potassium carbonate in the weight proportion 1:1 were introduced to the reactor till the precipitation of the modified MCCh agglomerates at pH=7.8.

Afterwards, a 1 % aqueous chitosan solution in 4.0% aqueous acetic acid at a rate of 1200 wt parts/hour and a 4.0% aqueous solution of a mixture of potassium hydroxide and potassium carbonate at a rate of 869 wt parts/hour were introduced to the reactor to pH=7.9 \pm 0.3. Simultaneously, an aqueous solution of a cellulase derived from *Humicola insolens mycelium* was continuously fed to the reactor. The enzyme endo-1,4- β -glucanase was 0.75 U CMC/cm³. A suspension of the modified MCCh was continuously removed from the reactor at a rate adequate to keep the reaction volume in the reactor constant. Next, the suspension was passed through a heat exchanger to deactivate the remaining enzyme at 85°C, cooled to 25°C and directed to an intermediate tank. From the tank the modified MCCh suspension was continuously fed to an ultrafiltration unit equipped with a rolled membrane with 40 kD cut-off.

Modified MCCh as a stable, white colored suspension was obtained with following properties: polymer content - 0.45%, $M_v = 480$ kD, $P_d = 3.22$, DD=73.8 %, WRV=1350 % , pH=7.25. The output of the product was 28.5 wt parts of MCCh from 1000 volume units of the reactor per hour.

EXAMPLE 9

1500 wt parts of a 1.5% chitosan solution in a 2% aqueous acetic acid were introduced to a reactor as in Example 1. The chitosan was characterized by $M_v = 345.0$ kD, DD=82.2 %, $P_d = 3.47$. Next 500 wt parts of a 1.5% aqueous hydrochloric acid were introduced to the reactor and the controlled hydrolytic degradation was accomplished for 1 hour at 40°C and 600 rpm of the agitator. Then a 2.5% aqueous sodium hydroxide was continuously added to the reaction mixture at a rate of 25 cm³/min and 1500 rpm of the agitator to attain pH=7.7 and precipitate the agglomerates of the MCCh. The agitation was continued for a further 0.5 hour at 4000 rpm. The obtained product was purified by ultrafiltration with a rolled membrane (cut-off = 40 kD) to attain pH=7.15 and a complete removal of impurities.

680 wt parts of modified MCCh were obtained as a white gel-like suspension with 3.2% concentration of the polymer characterized by $M_v = 220$ kD, $P_d = 381$, DD=82.2%, WRV=1750%.

EXAMPLE 10

1000 wt parts of a 1.0 % chitosan solution in a 4.0% aqueous acetic acid were introduced to the reactor as in Example 1 The chitosan was characterized by $M_v = 345.0$ kD, DD=82.2%, $P_d = 3.47$.

Next, the controlled hydrolytic degradation was run for 5 hour at 60°C with 600 rpm of the agitator. Next, a 2.5 % aqueous sodium hydroxide was added at the rate of 50 cm³/min with 1500 rpm of the agitator to precipitate the agglomerates of MCCh and attain pH=7.7. The agitation was continued for a further 0.5 hour at 4000 rpm. The obtained product was purified by ultrafiltration with a rolled, 40 kD cut-off membrane to attain pH=7.15 and complete removal of impurities.

360 wt parts of the modified MCCh were obtained as a white gel-like suspension with a 2.5 % content of the polymer characterized by $M_v=250$ kD, $P_d=3.67$, DD=82.2 %, WRV=1450 %.

EXAMPLE 11

1000 wt parts of a 1.0 % chitosan solution in a 1.0 % aqueous hydrochloric acid were introduced to the reactor as in Example 1. The polymer was characterized by $M_v=345.0$ kD, DD=82.2 %, $P_d=3.47$. Next, the controlled hydrolytic degradation was run for 3 hours at 50°C and 600 rpm of the agitator. Afterwards, a 2.5 % aqueous sodium hydroxide was continuously added at a rate of 50 cm³/min and 1500 rpm of the agitator to attain pH=7.8 and precipitate the agglomerates of MCCh. The agitation was continued for a further 0.5 hour at 4000 rpm. The obtained product was purified by ultrafiltration using a rolled membrane with a 40 kD cut-off to attain pH=7.2 and a complete removal of impurities.

320 wt parts of the modified MCCh were obtained as a white, gel-like suspension with a 2.8 % content of the polymer, characterized by $M_v=200$ kD, $P_d=3.98$, DD=82.2 %, WRV=1200 %.

EXAMPLE 12

1000 wt parts of a 1.0 % chitosan solution in a 2.0 % aqueous acetic acid were introduced to the reactor as in Example 1. The polymer was characterized by $M_v=345.0$ kD, DD=82.2 % and $P_d=3.47$. Next, the oxidative controlled degradation was conducted in the presence of 35 wt parts of a 10 % hydrogen peroxide solution during 2 hours at 30°C with 600 rpm of the agitator.

Then, to the reaction mixture a 1.5 % aqueous sodium hydroxide was introduced with a rate of 50 cm³/min and 1500 rpm of the agitator to attain pH=7.8 and precipitate the agglomerates of the MCCh. The agitation was continued for a further 0.5 hour at 3500 rpm. The obtained product was purified by ultrafiltration using a rolled membrane with a 40 kD cut-off to attain pH=7.15 and complete removal of impurities.

325 wt parts of the modified MCCh were obtained as a white, gel-like suspension with a 2.8 wt % content of the polymer characterized by $M_v=220$ kD, $P_d=3.62$, DD=82.2 % and WRV= 1300 %.

EXAMPLE 13

1000 wt parts of a 1.0 % chitosan solution in a 0.4 % aqueous hydrochloric acid were introduced to the reactor as in Example 1. The polymer was characterized by: $M_v=345.0$

kD, DD=82.2 and $P_d=347$. Next, the oxidative controlled degradation was conducted in the presence of 40 wt parts of a 1.0 % hydrogen peroxide solution during 3 hours at 20°C with 500 rpm of the agitator. Then, a 0.75 % aqueous sodium hydroxide was continuously introduced at the rate of 50 cm³/min and 1500 rpm of the agitator. Agitation was continued for a further 0.5 hour at 4000 rpm. The obtained product was purified by ultrafiltration with a rolled membrane with 40 kD cut-off to attain pH=7.22 and a complete removal of impurities.

220 wt parts of a modified MCCh were obtained as a white, gel-like suspension with a 2.5 % content of the polymer, characterized by: $M_v=120$ kD, $P_d=3.78$, DD= 82.2 % and WRV=1500 %.

The following examples illustrate use of modified microcrystalline chitosan according to the invention to prepare chitosan-calcium complexes.

EXAMPLE 14

100 wt parts of a gel - like suspension of microcrystalline chitosan (MCCh) characterized by a polymer content of 2.5 wt %, an average polymerization degree $M_v=250$ kD, a polydispersity $P_d=2.48$, a water retention value WRV=1240 %, deacetylation degree DD=83.2 % and a pH = 7.2, were introduced to a mixer equipped with a slow / fast agitating system. Then, for 15 minutes, 2.5 wt parts of calcium chloride with the granulation of 100 mesh. were added at continuous agitation with 150 rpm. During 10 minutes the mixture was homogenized at 23°C and next, during 10 minutes, the chitosan - calcium complex was formed at agitation speed of 4500 rpm. 102.5 wt parts of a stable suspension of the chitosan - calcium complex were obtained, containing 2.46 wt % of polymer characterized by $M_v=245$ kD, $P_d=2.56$, DD=83.2 %, WRV=850 %, pH=7.11 and 21.98 wt % content of calcium Ca (II), on weight of chitosan.

EXAMPLE 15

120 wt parts of a modified microcrystalline chitosan in the form of a gel - like suspension, characterized by 3.2 wt % content of the polymer with $M_v=602$ kD, $P_d=2.96$, DD=85.6 %, WRV=750 % and pH=7.24, were introduced to a mixer as in Example 1. Then, for 5 minutes, 1.0 wt part of calcium chloride with the granulation of 100 mesh was added at a constant agitation with 150 rpm. The forming of the chitosan - calcium complex was accomplished in two steps: first at 26°C with an agitation of 100 rpm for 15 minutes and second with 4000 rpm for 45 minutes.

121 wt parts of a stable suspension of the chitosan - calcium complex were obtained containing 2.97 wt % of polymer, characterized by $M_v=590$ kD, $P_d=2.96$, DD=85.6 %, WRV=650 %, pH=7.15 and a 5.73 wt % content of calcium Ca (II), on weight of chitosan.

EXAMPLE 16

150 parts of a modified microcrystalline chitosan, characterized by a polymer content of 2.5 wt %, $M_v=602$ kD, $P_d=2.96$, DD=85.6 %, WRV=750 % and pH=7.24, were introduced to the mixer as in Example 1. Next, with a constant agitation at 150 rpm 1.8 wt parts of calcium chloride with the granulation of 80 mesh, were introduced during 5

minutes. The process of forming the chitosan - calcium complex was conducted at 30°C during 60 minutes. 151.8 wt parts of the chitosan - calcium complex were obtained as a stable chitosan suspension of its microcrystalline form, containing 2.47 % of the polymer, characterized by $M_v=590$ kD, $P_d=3.06$, $DD=85.6$ %, $WRV=710$ %, $pH=7.14$ and 10.56 wt % content of calcium Ca (II), on weight of chitosan.

EXAMPLE 17

100 wt parts of microcrystalline chitosan in a gel - like suspension characterized by a polymer content of 2.85 wt % with $M_v=590$ kD, $P_d=3.58$, $WRV=980$ and $pH = 7.3$ were introduced to the mixer as in Example 1. Next, 100 wt parts of a 10 % aqueous solution of calcium acetate were added. The content of the mixer was homogenized and reacted for 1 hour at 15°C. Then, the obtained suspension of the chitosan - calcium complex was condensed by filtration.

120 wt parts of the chitosan - calcium complex were obtained as a stable suspension, containing 2.43 wt % of polymer characterized by $M_v=582$ kD, $P_d=3.52$, $WRV=700$ %, $pH=7.25$ and a calcium Ca (II) content of 4.9 %, on weight of chitosan.

Claims

We claim:

- 5 1. A method for preparing modified microcrystalline chitosan, comprising the steps of:

 degrading chitosan in an aqueous acidic solution under conditions to achieve a desired
 molecular weight range and polydispersity, said solution having a concentration of at
10 least about 0.001wt% of chitosan;

 alkalizing at vigorous agitation said acidic aqueous solution of chitosan (increased
 range of molecular weights and polydispersity) with an aqueous base to form a second
 solution having chitosan concentration of about 0.01-20 wt% and a pH of at least about
15 7.0; and

 precipitating said microcrystalline chitosan from said solution.
- 20 2. A method according to claim 1, wherein said degrading step uses an enzyme to
 degrade said chitosan.
- 25 3. A method according to claim 2, wherein said enzyme is selected from the group
 consisting of cellulases, chitanases and xylanases.
- 30 4. A method according to claim 1, wherein said degrading step uses an oxidative agent to
 degrade said chitosan.
- 35 5. A method according to claim 4, wherein said oxidative agent is hydrogen peroxide or
 sodium perborate.
- 40 6. A method according to claim 1, wherein said degrading step uses a hydrolytic agent to
 degrade said chitosan.
- 45 7. A method according to claim 6, wherein said hydrolytic agent is hydrochloric acid or
 chloroacetic acid.
- 50 8. A method according to claim 1, wherein said chitosan has a concentration in said
 aqueous acidic solution is between 0.1 to 2 wt%.
9. A method according to claim 1, wherein said aqueous acidic solution of chitosan
 comprises an acid selected from the group consisting of acetic acid, lactic acid, citric
 acid and hydrochloric acid and a pH of ≤ 6.9 .
10. A method according to claim 1, wherein said alkalizing step uses a base selected from
 the group consisting of sodium hydroxide, potassium hydroxide and ammonium
 hydroxide.
11. A method according to claim 1, wherein said alkalizing step uses a base selected from
 the group consisting of sodium carbonate, potassium carbonate and ammonium
 carbonate.

12. A method according to claim 3, wherein said degrading step is carried out at a temperature ≥ 20 degrees C until enzyme deactivation at elevated temperature.
13. A method according to claim 12, wherein said degrading step is carried out at a temperature of between about 30 degrees C and 60 degrees C.
14. A method according to claim 6, wherein said degrading step is carried out at a temperature ≥ 20 degrees C.
15. A method according to claim 14, wherein said degrading step is carried out at a temperature between about 40 degrees C and 80 degrees C.
16. A method for preparing modified microcrystalline chitosan, comprising the steps of:
- alkalizing at vigorous agitation an acidic aqueous solution of chitosan (increased range of molecular weights and polydispersity) with an aqueous base to form a second solution having chitosan concentration of about 0.01-20 wt% and a pH of at least about 7.0;
- degrading said chitosan in said second solution under conditions to achieve a desired molecular weight range and polydispersity, said solution having a concentration of at least about 0.001wt% of chitosan; and
- precipitating said modified microcrystalline chitosan.
17. A method according to claim 16, wherein said degrading step uses an enzyme to degrade said chitosan.
18. A method according to claim 17, wherein said enzyme is selected from the group consisting of cellulases, chitanases and xylanases.
19. A method according to claim 16, wherein said degrading step uses an oxidative agent to degrade said chitosan.
20. A method according to claim 19, wherein said oxidative agent is hydrogen peroxide or sodium perborate.
21. A method according to claim 16, wherein said degrading step uses a hydrolytic agent to degrade said chitosan.
22. A method according to claim 21, wherein said hydrolytic agent is hydrochloric acid or chloroacetic acid.
23. A method according to claim 16, wherein said chitosan has a concentration in said aqueous acidic solution is between 0.1 to 2 wt%.
24. A method according to claim 16, wherein said aqueous acidic solution of chitosan comprises an acid selected from the group consisting of acetic acid, lactic acid, citric acid and hydrochloric acid and a pH of ≤ 6.9 .

25. A method according to claim 16, wherein said alkalizing step uses a base selected from the group consisting of sodium hydroxide, potassium hydroxide and ammonium hydroxide.
26. A method according to claim 16, wherein said alkalizing step uses a base selected from the group consisting of sodium carbonate, potassium carbonate and ammonium carbonate.
27. A method according to claim 18, wherein said degrading step is carried out at a temperature ≥ 20 degrees C until enzyme deactivation at elevated temperature.
28. A method according to claim 27, wherein said degrading step is carried out at a temperature of between about 30 degrees C and 60 degrees C.
29. A method according to claim 21, wherein said degrading step is carried out at a temperature ≥ 20 degrees C.
30. A method according to claim 29, wherein said degrading step is carried out at a temperature between about 40 degrees C and 80 degrees C.
31. A chitosan-calcium (II) complex, comprising: calcium (II) ions bound to microcrystalline chitosan, wherein said complex contains ≥ 0.01 wt% chitosan having an average molecular weight ≥ 10 kD, a polydispersity ≥ 2.0 , deacetylation degree $\geq 65\%$ and wherein said complex has a water retention value $\geq 300\%$, pH ≥ 7.1 and a calcium (II) ion content ≥ 0.1 wt% relative to chitosan.
32. A chitosan-calcium (II) complex according to claim 31, wherein said calcium (II) ions are bound with the microcrystalline chitosan by coordinate bonds or hydrogen bonds.
33. A chitosan-calcium (II) complex according to claim 31, wherein said complex is water soluble.
34. A method to produce a chitosan-calcium complex from a microcrystalline chitosan, comprising the steps of:
- providing a suspension containing ≥ 0.01 wt % microcrystalline chitosan, said chitosan having an average polymerization degree ≥ 10 kD, a polydispersity ≥ 2.0 , and deacetylation degree $\geq 65\%$; and
 - mixing said microcrystalline chitosan with ≥ 0.01 wt% calcium (II) salt to form said complex;
- wherein said complex has a water retention value $\geq 300\%$ and a pH ≥ 7.0 .
35. A method according to claim 34, wherein said calcium (II) salt is selected from the group consisting of calcium chloride and calcium acetate.

- 5
36. A method according to claim 34, wherein said calcium (II) salt concentration is 10-50 wt% relative to chitosan.
37. A method according to claim 34, wherein said mixing step is carried out at a temperature $\geq 10^{\circ}\text{C}$.
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38. A method according to claim 38, wherein said mixing step is carried out at a temperature between 20°C and 40°C .
39. A chitosan-calcium (II) complex prepared according to the method of claim 34.
- 15

INTERNATIONAL SEARCH REPORT

International Application No

PCT/IB 03/00025

A. CLASSIFICATION OF SUBJECT MATTER
IPC 7 C08B37/08

According to International Patent Classification (IPC) or to both national classification and IPC

B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

IPC 7 C08B

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

WPI Data, PAJ, CHEM ABS Data, EPO-Internal

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Date of the actual completion of the international search

3 April 2003

Date of mailing of the international search report

17/04/2003

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INTERNATIONAL SEARCH REPORT

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